

04/23/01
J1042 U.S. PTO

04-24-01

PTO/SB/05 (08-00)

Please type a plus sign (+) inside this box ☐

Approved for use through 10/31/2002 OMB 0651-0032
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.52(b))

Attorney Docket No.	PINTO-002A
First Inventor	Albert G. Pinto
Title	METHOD AND APPARATUS FOR VIEWING AND INTERACTING WITH CATEGORY SPECIFIC INFORMATION
Express Mail Label No.	EL 813457935 US

PTO
SB/05
08/03/00
10/23/01

APPLICATION ELEMENTS See MPEP chapter 800 concerning utility patent application contents.	ADDRESS TO. Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1 <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB17) (IN DUPLICATE)	7 <input type="checkbox"/> CD-ROM or C-R in duplicate, large table or Computer Program (Appendix)
2 <input checked="" type="checkbox"/> Applicant claims small entity status	8 <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27 (if applicable, all necessary)
3 <input checked="" type="checkbox"/> Specification [Total Pages <u>19</u>] (preferred arrangement set forth below) -Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R & D -Reference to sequence listing, a table, or a computer program listing appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) 37 CFR 3.73(b) -Detailed Description -Claims -Abstract of the Disclosure/Copies of IDS Citations	a <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on i. <input type="checkbox"/> CD-ROM or CD-R (2 copies), or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies a computer program listing appendix
4 <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>13</u>]	ACCOMPANYING APPLICATION PARTS
5 <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>2</u>] a <input checked="" type="checkbox"/> Newly executed (original or copy) b <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) i <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)	9 <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10 <input checked="" type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney 11 <input type="checkbox"/> English Translation Document (if applicable) 12 <input type="checkbox"/> Information Disclosure Statement (IDS) PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13 <input type="checkbox"/> Preliminary Amendment 14 <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15 <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16 <input checked="" type="checkbox"/> Other <u>Statement Claiming Small Entity Status-Small Business Concern, and Certificate of Mailing</u>
6 <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

☐ Continuation ☐ Divisional ☐ Continuation-in-part of prior application No. /

Prior application information: ☐ Examiner ☐ Group/Art Unit

For CONTINUATION OR DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

18. CORRESPONDENCE ADDRESS

007663 Customer Number or Bar Code Label		Customer No. 007663		or		Customer address below	
Name							
Address							
City				State		Zip Code	
Country							
Name (Print/Type)		Marlene Klein		Registration No. (Attorney/Agent)		43,718	
Signature		<u>Marlene Klein</u>				Date 04/23/01	

SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

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PTO/SB/17 (09-00)

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$) 395.00

Complete if Known

Application Number PINTO-002A
Filing Date Herewith
First Named Inventor Albert G. Pinto
Examiner Name Unknown
Group Art Unit Unknown
Attorney Docket No. PINTO-002A

3945 U.S. PTO
09/840350
04/23/01

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number _____

Deposit Account Name 19-4330

X Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
X Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

X Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710 201 355		Utility Filing fee	355.00
106 320 205 160		Design Filing fee	
107 490 207 245		Plant Filing fee	
108 710 208 355		Reissue Filing fee	
114 150 214 75		Provisional Filing fee	

SUBTOTAL (1) \$ 355.00

2. EXTRA CLAIM FEES

Total Claims	Excess Claims	Fee from below	Fee Paid
17	20**	0	0
Independent Claims	2	3	0
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18 203 9		Claims in excess of 20
102 80 202 40		Independent claims in excess of 3
104 270 204 135		
109 80 209 40		
110 18 210 9		

SUBTOTAL (2) \$ 0

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English specification	
147 250 147 250		For filing a request for ex parte reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	
116 330 216 165		Extension for reply within second month	
117 890 217 445		Extension for reply within third month	
118 1,390 218 695		Extension for reply within fourth month	
128 1,890 228 945		Extension for reply within fifth month	
119 310 219 155		Notice of Appeal	
120 310 220 155		Filing a brief in support of an appeal	
121 270 221 135		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive-unavoidable	
141 1,240 241 620		Petition to revive-unintentional	
142 1,240 242 620		Utility issue fee (or reissue)	
143 440 243 220		Design issue fee	
144 600 244 300		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Petitions related to provisional applications	
126 240 126 240		Submission of Information Disclosure Statement	
581 40 581 40		Recording each patent assignment per property (times number of properties)	40.00
146 710 246 355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710 249 355		For each additional invention to be examined	
179 710 279 355		Request for Continued Examination (RCE)	
159 900 159 900		Request for expedited examination of a design application	

* Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$ 40.00

SUBMITTED BY:

Name (Print/Type)	Registration No.	Telephone	Complete (if applicable)
Marlene Klein	43,718	(949) 855-1246	
Signature <i>Marlene Klein</i>	Attorney/Agent	Date	04/23/01

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ATTORNEY DOCKET:
PINTO-002A

Certificate of Mailing under 37 CFR 1.8 or 37 CFR 1.10

- ☐ I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:
- ☒ I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Express Mail No. EL 813457935 US addressed to:

Assistant Commissioner
For Patents
Washington, D.C. 20231

on April 23, 2001
(Date)

Dawn L. Mangino
Signature

Dawn L. Mangino
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

1. Utility Patent Application Transmittal (1 pg);
2. Fee Transmittal for FY 2001 (1 pg) (in duplicate);
3. Patent Application Specification (19 pgs);
4. Drawings (13 pgs);
5. Declaration for utility or Design Patent Application (2pgs);
6. Statement Claiming Small Entity Status --Small Business Concern (1 pg);
7. Recordation Form Cover Sheet PATENTS ONLY (2 pgs);
8. Assignment of Application (1 pg);
9. Power of Attorney or Authorization of Agent (1 pg);
10. Certificate Under 37 C.F.R. Section 3.73(b) (1 pg);
11. Copy of the Assignment (1 pg);
12. Check for \$355.00 filing fees for application;
13. Check for \$40.00 fee for Assignment; and
14. Return Postcard to acknowledge receipt.

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